

Cosmological perturbations

PhD lectures 2015

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Outline:

1. Metric perturbations and gauge transformations
2. Primordial perturbations from inflation
3. Adiabatic and isocurvature perturbations; non-Gaussianity

References:

- Malik and Wands, Phys Rep 475, 1 (2009); arXiv:0809.4944
- Kodama and Sasaki, Prog Theor Phys Supp 78, 1 (1984)
- Mukhanov, Feldman and Brandenberger, Phys Rep 215, 203 (1992)
- Lidsey et al, Rev Mod Phys 69, 1 (1997); astro-ph/9508078
- Bassett, Tsujikawa and Wands, Rev Mod Phys (2005); astro-ph/0507632

LECTURE 1:

**DENSITY WAVES,
METRIC PERTURBATIONS
AND
GAUGE TRANSFORMATIONS**

Scalar perturbations

example:

fluid density, ρ (energy density ρc^2)
(I will set $c=1$)

velocity, \vec{v}
(neglect gravity!)

$$\dot{\rho} = -\vec{\nabla} \cdot (\rho \vec{v}) \quad \text{continuity}$$

$$(\rho \vec{v})^\cdot = -\vec{\nabla} P \quad \text{Euler}$$

$$P = P(\rho) \quad \text{barotropic equation of state}$$

simplest solution: $\rho = \text{constant}$
"background" $\vec{v} = \vec{0}$
(e.g. true vacuum $\rho = P = 0$)

next simplest case

small fluctuations about simple background

$$\rho_0 = \text{constant}$$

$$\vec{v}_0 = \vec{0}$$

consider $\rho = \rho_0 + \epsilon \delta \rho$

, $\vec{v} = \vec{0} + \epsilon \vec{u}$

where $\epsilon \ll 1$ is small parameter

substitute in to eqns. of motion

continuity: $\dot{\rho} = \epsilon \dot{\delta \rho} = -\vec{\nabla} \cdot [(\rho_0 + \epsilon \delta \rho) \epsilon \vec{u}]$

Euler: $[(\rho_0 + \epsilon \delta \rho) \epsilon \vec{u}]^\cdot = -\vec{\nabla} [P(\rho_0 + \epsilon \delta \rho)]$
 $= -\vec{\nabla} [P_0 + \epsilon \delta \rho P'_0 + O(\epsilon^2)]$

linearise only keep terms $\mathcal{O}(\epsilon^1)$
drop $\mathcal{O}(\epsilon^2)$

$$\left. \begin{array}{l} \text{continuity} \quad \& \delta \dot{\rho} = - \& \rho_0 \vec{\nabla} \cdot \vec{u} \\ \text{Euler} \quad \rho_0 \& \vec{u} = - \& \rho_0' \vec{\nabla} \delta \rho \end{array} \right\} \text{linear equations}$$

combine

$$\Rightarrow \ddot{\delta \rho} = - \rho_0 \vec{\nabla} \cdot \vec{u}$$

$$\boxed{\ddot{\delta \rho} = \rho_0' \nabla^2 \delta \rho}$$

$$\text{e.g. 1-D} \quad \left(\frac{\partial^2}{\partial t^2} - \rho_0' \frac{\partial^2}{\partial x^2} \right) \delta \rho = 0$$

$$\text{plane wave soln: } \delta \rho = A \cos(kx - \omega t + \phi)$$
$$\omega^2 = c_s^2 k^2$$

$$\text{where sound speed } c_s^2 = \rho_0' = \left(\frac{dP}{d\rho} \right)_{\rho_0}$$

$$\text{e.g. } c_s^2 = 0 \quad \text{for dust } P=0$$

$$c_s^2 = \frac{1}{3} \quad \text{for radiation } P = \frac{1}{3} \rho c^2$$

in 3D space.

Fourier space ("k-space")

can decompose arbitrary ~~distribution~~
inhomogeneous function* ~~into eigenfun~~

$$\delta\varphi(t, \underline{x}) = \int \frac{d^3k}{(2\pi)^3} \delta\varphi_{\underline{k}}(t) \cdot e^{i\underline{k}\cdot\underline{x}}$$
$$\Leftrightarrow \delta\varphi_{\underline{k}}(t) = \int \frac{d^3x}{(2\pi)^3} \delta\varphi(t, \underline{x}) \cdot e^{-i\underline{k}\cdot\underline{x}}$$

note: - $\delta\varphi$ real $\Rightarrow \delta\varphi_{\underline{k}} = \delta\varphi_{-\underline{k}}^*$

- ~~eigen~~ eigenfunctions of spatial Laplacian

$$\partial^2 (\delta\varphi_{\underline{k}}(t) \cdot e^{i\underline{k}\cdot\underline{x}}) = -\delta^{ij} k_i k_j ()$$
$$= -k^2 (\delta\varphi_{\underline{k}}(t) \cdot e^{i\underline{k}\cdot\underline{x}})$$

- * complete orthonormal basis

$$\int d^3\underline{k}' \frac{e^{i\underline{k}\cdot\underline{x}} \cdot e^{i\underline{k}'\cdot\underline{x}}}{(2\pi)^3}$$
$$= \frac{1}{(2\pi)^3} \cdot \delta^{(3)}(\underline{k} + \underline{k}')$$

Dirac δ -function

Statistical properties:

~~Power spectra~~

describe ~~properties of~~ distribution / ensemble
(not just one realisation)

power spectrum

$$\langle \delta\varphi_{\underline{k}} \delta\varphi_{\underline{k}'} \rangle = (2\pi)^3 \cdot P_{sc}(\underline{k}) \cdot \delta^{(3)}(\underline{k} + \underline{k}')$$

bispectrum

↑ ensemble average

↑ consequence of spatial homog.

$$\langle \delta\varphi_{\underline{k}} \delta\varphi_{\underline{k}'} \delta\varphi_{\underline{k}''} \rangle = (2\pi)^3 \cdot B_{sc}(\underline{k}, \underline{k}', \underline{k}'')$$

$$\delta^{(3)}(\underline{k} + \underline{k}' + \underline{k}'')$$

trispectrum, etc

note: - in real space

$$\begin{aligned} \text{variance } \langle \varphi^2(x) \rangle &= \int \frac{d^3\underline{k} d^3\underline{k}'}{(2\pi)^6} \langle \varphi_{\underline{k}} \varphi_{\underline{k}'} \rangle e^{i(\underline{k} + \underline{k}')x} \\ &= \int \frac{d^3\underline{k}}{(2\pi)^3} P_{sc}(\underline{k}) \quad \text{---} \quad \text{Diagram: A 3D coordinate system with axes labeled } k_x, k_y, k_z. \text{ A sphere is drawn around the origin, and a vector } \underline{k} \text{ is shown pointing from the origin to the surface of the sphere.} \\ &= \int \frac{4\pi k^3}{(2\pi)^3} \cdot P_{sc}(k) \cdot d(\ln k) \\ &= \int P_{sc}(k) \cdot d(\ln k) \end{aligned}$$

where dimensionless power spectrum

$$P_{sc}(k) = \frac{4\pi k^3}{(2\pi)^3} \cdot P_{sc}(k)$$

- for Gaussian perturbations $B_{sc} = 0$

and for all odd moments correlations ($\langle \delta\varphi^5 \rangle = 0$, etc)

- take first-order perturbations to be Gaussian
→ higher-order perturbs describe non-Gaussianity

Vector perturbations

decompose arbitrary 3-vector \vec{V}
 into scalar (potential) part
 and "vector" (divergence-free) part

$$\vec{V} = \vec{\nabla} V^{(s)} + \vec{V}^{(v)}$$

where $\vec{\nabla} \cdot \vec{V}^{(v)} = 0$

Fourier basis:

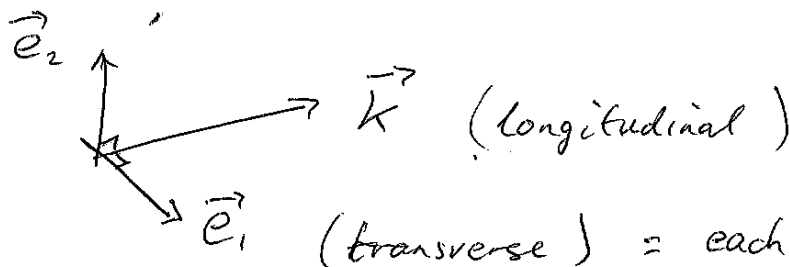
$$V^{(s)}(t, \vec{x}) = \int \frac{d^3k}{(2\pi)^3} V_k^{(s)}(t) e^{i\vec{k} \cdot \vec{x}}$$

$$\vec{V}^{(v)}(t, \vec{x}) = \int \frac{d^3k}{(2\pi)^3} \left\{ V_k^{(v)}(t) \vec{e}_1(\vec{k}) + \bar{V}_k^{(v)}(t) \vec{e}_2(\vec{k}) \right\} e^{i\vec{k} \cdot \vec{x}}$$

where \vec{e}_1 and \vec{e}_2 are orthonormal transverse basis

$$\vec{e}_1 \cdot \vec{e}_2 = 0 = \vec{e}_1 \cdot \vec{k} = \vec{e}_2 \cdot \vec{k}$$

$$\vec{e}_1 \cdot \vec{e}_1 = 1 = \vec{e}_2 \cdot \vec{e}_2$$



= each wave mode
 $V_k^{(v)} \vec{e}_1 e^{i\vec{k} \cdot \vec{x}}$
 is divergence-free

$$\vec{\nabla} \cdot (V_k^{(v)} \vec{e}_1 e^{i\vec{k} \cdot \vec{x}}) = iV_k^{(v)} (\vec{k} \cdot \vec{e}_1) e^{i\vec{k} \cdot \vec{x}} = 0$$

Tensor perturbations:

decompose symmetric tensor T_{ij}
into scalar, vector & "tensor" (transverse,
+ trace-free)

$$T_{ij} = \vec{\nabla}_i \vec{\nabla}_j (S) + \vec{\nabla}_i \vec{V}_j + \vec{\nabla}_j \vec{V}_i + h_{ij}$$

$$\begin{aligned} \text{where transverse } \vec{\nabla}^i h_{ij} &= 0 \\ \text{tracefree } \delta^{ij} h_{ij} &= 0 \end{aligned}$$

$$h_{ij} = \int \frac{d^3k}{(2\pi)^3} \left\{ h_k(t) q_{ij}(\vec{k}) + \bar{h}_k(t) \bar{q}_{ij}(\vec{k}) \right\} e^{i\vec{k} \cdot \vec{x}}$$

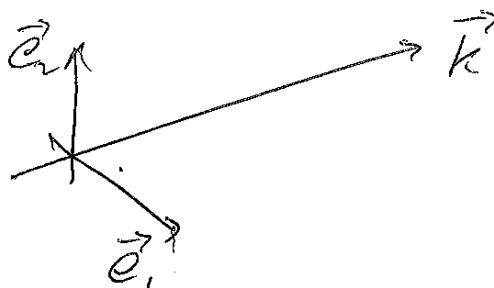
where two polarisation

$$q_{ij} = \frac{1}{\sqrt{2}} [\vec{e}_{1i} \vec{e}_{1j} - \vec{e}_{2i} \vec{e}_{2j}]$$

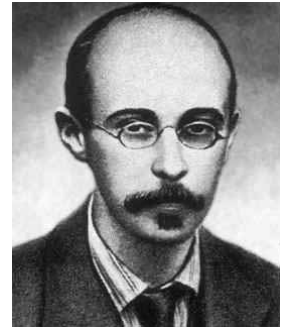
$$\bar{q}_{ij} = \frac{1}{\sqrt{2}} [\vec{e}_{1i} \vec{e}_{2j} + \vec{e}_{2i} \vec{e}_{1j}]$$

$$\text{transverse } k_i q_{ij} = 0 = k_i \bar{q}_{ij}$$

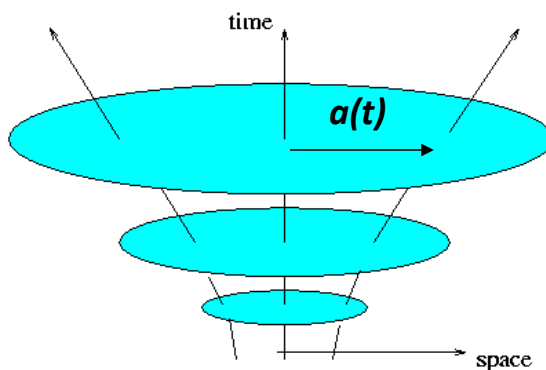
+ tracefree



Homogeneous FRW background



- Unique *time-slicing* (foliation) of 4D spacetime into homogeneous 3D space, with homogeneous matter density, $\rho(t)$



scale factor

$$ds^2 = a^2(t) dx^2$$

- Comoving world lines define natural choice of *threading* (spatial coordinates)

cosmic fluid equations:

energy density ρ

pressure P

momentum density $\vec{q} = (\rho + P)\vec{v}$

$$\dot{\rho} = -\vec{\nabla} \cdot \vec{q} - 3H\rho$$

$$\dot{\vec{q}} = -\vec{\nabla} P - 3H\vec{q}$$

Hubble expansion, H , dilutes density and momentum

eliminate pressure $\vec{\nabla} P = c_s^2 \vec{\nabla} \rho$

where $c_s^2 =$ adiabatic sound speed $= 1/3$ in hot big bang

to obtain second - order wave equation

$$\ddot{\rho} + 3H\dot{\rho} = c_s^2 \nabla^2 \rho$$

cosmic sound waves:

wave equation in an
expanding spacetime:

$$\frac{d^2}{dt^2} \rho + 3H \frac{d}{dt} \rho = c_s^2 \frac{d^2}{dx^2} \rho$$

Characteristic timescales for wavelength λ

- oscillation period/wavelength λ / c_s*
- Hubble damping time-scale H^{-1}*
- small-scales $\lambda < c_s H^{-1}$ under-damped oscillator*
- large-scales $\lambda > c_s H^{-1}$ over-damped (“frozen-in”)*

it isn't always quite that simple...

in general relativity should consider both

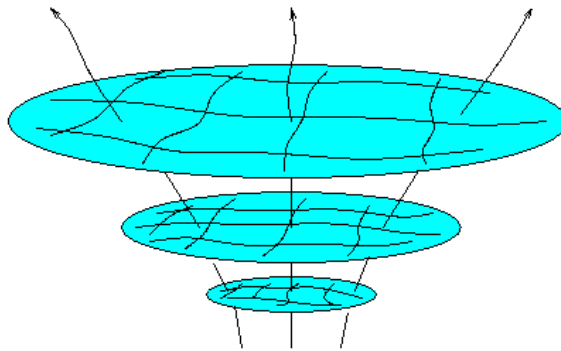
- effect of curved spacetime on matter
- effect of matter on curved spacetime

...leads to non-linear coupled equations

but often the simple picture works for
linearised perturbations

Inhomogeneous perturbations

- No unique *time-slicing* (foliation) of 4D spacetime 3D space inhomogeneous matter density, $\rho(t,x)$



- Comoving world lines may define one choice of *threading* but not unique

free to choose of coordinates (*gauge problem*)
- *not a bug, but a feature of general relativity!*

Inhomogeneous perturbations on homogeneous background

Homogeneous model: $\varphi = \varphi_0(t)$

Inhomogeneous model: $\varphi = \varphi(t, x)$
 $= \varphi_0(t) + \Delta\varphi(t, x)$
 $= \varphi_0(t) + \varepsilon \delta_1\varphi(t, x) + (1/2) \varepsilon^2 \delta_2\varphi(t, x) + \dots$

typically for linear perturbations only I will write

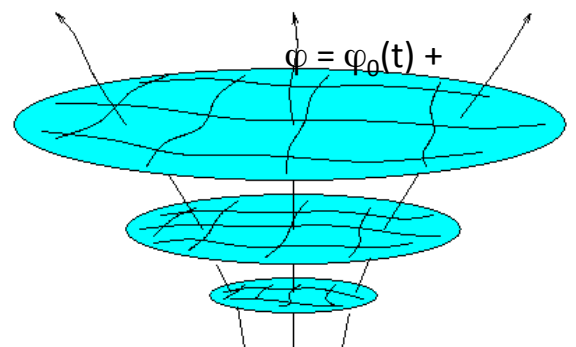
$\delta\varphi(t, x) + \dots$

But in an inhomogeneous spacetime

- no obvious, preferred time slicing
 $T = t + \delta t(t, x) + \dots$
- no obvious, preferred spatial threading
 $X = x + \delta x(t, x) + \dots$

T and t, or X and x, only need to agree at zeroth order (same background)

This arbitrariness in the choice of coordinates is the *gauge problem*



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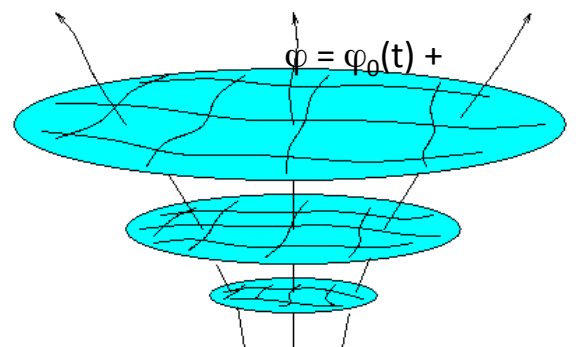
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FRW metric

Background line element:

$$ds^2 = a^2(\eta) [-d\eta^2 + \gamma_{ij} dx^i dx^j]$$

where

- η is conformal time coordinate
- γ_{ij} is spatial metric on maximally symmetric 3-space, curvature K

$$g_{\mu\nu}^0 = a^2(\eta) \begin{pmatrix} -1 & 0 \\ 0 & \gamma_{ij} \end{pmatrix}$$

Metric perturbations

using notation of Mukhanov, Feldman and Brandenberger
(1992)

Linear perturbation: $g_{\mu\nu} = g_{\mu\nu}^0 + \delta g_{\mu\nu}$

schematically:

$$g_{\mu\nu} = a^2(\eta) \begin{pmatrix} -(1 + 2\phi) & B_{|i} - S_i \\ B_{|j} - S_j & (1 - 2\psi)\gamma_{ij} + 2E_{|ij} + F_{i|j} + F_{j|i} + h_{ij} \end{pmatrix}$$

hence the perturbed line element is

$$ds^2 = a^2(\eta) \left\{ -(1 + 2\phi)d\eta^2 + 2(B_{|i} - S_i)d\eta dx^i + [(1 - 2\psi)\gamma_{ij} + 2E_{|ij} + 2F_{i|j} + h_{ij}] dx^i dx^j \right\}$$

4 scalars:	ϕ, B, ψ, E
2 transverse vectors:	S_i, F_i
1 transverse, tracefree tensor:	h_{ij}

Gauge transformation of metric perturbations

Coordinate transformation:

$$\tilde{\eta} = \eta + \xi^0, \quad \tilde{x}^i = x^i + \xi_{|i} + \bar{\xi}^i,$$

where infinitesimal

$$d\xi^0 = \xi^{0'} d\tilde{\eta} + \xi^0_{|i} d\tilde{x}^i$$

$$d\xi = \xi' d\tilde{\eta} + \xi_{|j} d\tilde{x}^j$$

$$d\bar{\xi}^i = \bar{\xi}^{i'} d\tilde{\eta} + \bar{\xi}^i_{|j} d\tilde{x}^j$$

implies transformed coordinate infinitesimals:

$$d\eta = d\tilde{\eta} - \xi^{0'} d\tilde{\eta} - \xi^0_{|i} d\tilde{x}^i$$

$$dx^i = d\tilde{x}^i - (\xi^{i'} + \bar{\xi}^{i'}) d\tilde{\eta} - (\xi_{|j}^i + \bar{\xi}^i_{|j}) d\tilde{x}^j$$

which, along with $a(\eta) = a(\tilde{\eta}) - \xi^0 a(\tilde{\eta})'$,

substituted in the line element, gives:

$$ds^2 = a^2(\tilde{\eta}) \left\{ - \left(1 + 2 \left(\phi - h\xi^0 - \xi^{0'} \right) \right) d\tilde{\eta}^2 + 2 \left(B + \xi^0 - \xi' \right)_{|i} d\tilde{\eta} d\tilde{x}^i - 2 \left(S_i + \bar{\xi}'_i \right) d\tilde{\eta} d\tilde{x}^i \right. \\ \left. + \left[\left(1 - 2 \left(\psi + h\xi^0 \right) \right) \gamma_{ij} + 2 \left(E - \xi \right)_{|ij} + 2 \left(F_{i|j} - \bar{\xi}^i_{|j} \right) + h_{ij} \right] d\tilde{x}^i d\tilde{x}^j \right\}.$$

Gauge transformation rules for metric perturbations

Can be written in same form as the original perturbed line element, but in terms of the new coordinates:

$$ds^2 = a^2(\tilde{\eta}) \left\{ -(1 + 2\tilde{\phi})d\tilde{\eta}^2 + 2(\tilde{B}_{|i} - \tilde{S}_i)d\tilde{\eta}d\tilde{x}^i + [(1 - 2\tilde{\psi})\gamma_{ij} + 2\tilde{E}_{|ij} + 2\tilde{F}_{i|j} + \tilde{h}_{ij}] d\tilde{x}^i d\tilde{x}^j \right\}$$

where

- scalars:

$$\begin{aligned}\tilde{\phi} &= \phi - h\xi^0 - \xi^{0'} \\ \tilde{\psi} &= \psi + h\xi^0 \\ \tilde{B} &= B + \xi^0 - \xi' \\ \tilde{E} &= E - \xi\end{aligned}$$
- Vectors:

$$\begin{aligned}\tilde{F}_i &= F_i - \bar{\xi}_i, \\ \tilde{S}_i &= S_i + \bar{\xi}'_i.\end{aligned}$$
- Tensors:

$$h_{ij} = h_{ij}$$

First-order field equations

Einstein equations:

Two constraint equations:

$$\begin{aligned} 3h(\psi' + h\phi) + (k^2 - 3\mathcal{K})\psi + hk^2\sigma &= -4\pi Ga^2\delta\rho && \text{(energy density)} \\ \psi' + h\phi + \mathcal{K}\sigma &= -4\pi Ga^2(\rho + p)(v + B) && \text{(momentum)} \end{aligned}$$

Two evolution equations:

$$\begin{aligned} \psi'' + 2h\psi' - \mathcal{K}\psi + h\phi' + (2h' + h^2)\phi &= 4\pi Ga^2 \left(\delta p - \frac{2}{3}k^2 a^2 \Pi \right) && \text{(curvature)} \\ \sigma' + 2h\sigma - \phi + \psi &= 8\pi Ga^2 \Pi && \text{(shear)} \end{aligned}$$

Energy-momentum conservation:

$$\begin{aligned} \delta\rho'_\alpha + 3h(\delta\rho_\alpha + \delta p_\alpha) &= (\rho_\alpha + p_\alpha) \left[k^2(v_\alpha + E') + 3\psi' \right] && \text{(energy density)} \\ [(\rho_\alpha + p_\alpha)(v_\alpha + B)]' &= -(\rho_\alpha + p_\alpha) [4h(v_\alpha + B) + \phi] - \delta p_\alpha + \frac{2}{3}(k^2 - 3\mathcal{K})\Pi_\alpha && \text{(momentum)} \end{aligned}$$